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**To cite this article:** Nikola Cihoric, Alexandros Tsikkinis, Gerard van Rhoon, Hans Crezee, Daniel M. Aebersold, Stephan Bodis, Marcus Beck, Jacek Nadobny, Volker Budach, Peter Wust & Pirus Ghadjar (2015) Hyperthermia-related clinical trials on cancer treatment within the ClinicalTrials.gov registry, *International Journal of Hyperthermia*, 31:6, 609-614, DOI: [10.3109/02656736.2015.1040471](https://doi.org/10.3109/02656736.2015.1040471)

**To link to this article:** <http://dx.doi.org/10.3109/02656736.2015.1040471>



Published online: 15 May 2015.



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## RESEARCH ARTICLE

Hyperthermia-related clinical trials on cancer treatment within the  
ClinicalTrials.gov registryNikola Cihoric<sup>1</sup>, Alexandros Tsikkinis<sup>1</sup>, Gerard van Rhoon<sup>2</sup>, Hans Crezee<sup>3</sup>, Daniel M. Aebbersold<sup>1</sup>, Stephan Bodis<sup>4</sup>,  
Marcus Beck<sup>5</sup>, Jacek Nadobny<sup>5</sup>, Volker Budach<sup>5</sup>, Peter Wust<sup>5</sup>, & Pirus Ghadjar<sup>5</sup><sup>1</sup>Department of Radiation Oncology, Inselspital, Bern University Hospital, and University of Bern, <sup>2</sup>Erasmus MC Cancer Institute, Department of Radiation Oncology, Rotterdam, The Netherlands, <sup>3</sup>Academic Medical Centre, Department of Radiation Oncology, Amsterdam, The Netherlands, <sup>4</sup>Kantonsspital Aarau Radio-Onkologie, Aarau, Switzerland, and <sup>5</sup>Charité Universitätsmedizin, Berlin, Germany**Abstract**

**Purpose:** Hyperthermia has been shown to improve the effectiveness of chemotherapy and radiotherapy in the treatment of cancer. This paper summarises all recent clinical trials registered in the ClinicalTrials.gov registry. **Materials and methods:** The records of 175,538 clinical trials registered at ClinicalTrials.gov were downloaded on 29 September 2014 and a database was established. We searched this database for hyperthermia or equivalent words. **Results:** A total of 109 trials were identified in which hyperthermia was part of the treatment regimen. Of these, 49 trials (45%) had hyperthermic intraperitoneal chemotherapy after cytoreductive surgery (HIPEC) as the primary intervention, and 14 other trials (13%) were also testing some form of intraperitoneal hyperthermic chemoperfusion. Seven trials (6%) were testing perfusion attempts to other locations (thoracic/pleural  $n = 4$ , limb  $n = 2$ , hepatic  $n = 1$ ). Sixteen trials (15%) were testing regional hyperthermia, 13 trials (12%) whole body hyperthermia, seven trials (6%) superficial hyperthermia and two trials (2%) interstitial hyperthermia. One remaining trial tested laser hyperthermia. **Conclusions:** In contrast to the general opinion, this analysis shows continuous interest and ongoing clinical research in the field of hyperthermia. Interestingly, the majority of trials focused on some form of intraperitoneal hyperthermic chemoperfusion. Despite the high number of active clinical studies, HIPEC is a topic with limited attention at the annual meetings of the European Society for Hyperthermic Oncology and the Society of Thermal Medicine. The registration of on-going clinical trials is of paramount importance for the achievement of a comprehensive overview of available clinical research activities involving hyperthermia.

**Introduction**

Hyperthermia is a procedure which raises the temperature of tumour-loaded tissue to 39.5–43 °C and is generally applied as an additive treatment to established non-surgical cancer treatments, namely chemotherapy and radiation therapy [1]. The temperature elevation can be achieved by different methods including superficial hyperthermia, regional hyperthermia, interstitial hyperthermia, whole body hyperthermia and hyperthermic isolated limb perfusion [1]. Moreover, in patients with peritoneal carcinomatosis hyperthermic intraperitoneal chemotherapy after cytoreductive surgery (HIPEC) has been investigated [2]. Even though some evidence from clinical trials on the use of hyperthermia could be gained, hyperthermia is not yet an established player in the multimodal treatment set-up of solid tumours [3,4]. Several reasons have been suggested to explain the lack of wide clinical use. Arguments such as that the application of hyperthermia is

**Keywords**

Cancer, heat, hyperthermia, trials

**History**

Received 17 January 2015

Revised 15 March 2015

Accepted 8 April 2015

Published online 15 May 2015

considered to be time consuming, technologically complex and demanding to the patient, are used to explain the hesitation. Others have challenged the evidence of positive hyperthermia trials from the last century by criticising these as being small or technically outdated and claiming that hardly any new clinical studies are being performed [5,6]. Past phase III trials have been extensively discussed in multiple reviews [7–12], including Cochrane analysis [13,14], and authorities in many countries have been convinced to accept adjuvant hyperthermia for radiotherapy and chemotherapy as regular treatment for specific cancer indications as summarised by Sauer et al. [8]. To our knowledge an inventory on all clinical trials is not available, whereas in our opinion such an overview could provide a good insight in the dynamics of the clinical interest in hyperthermia. To obtain such an inventory we used ClinicalTrials.gov. ClinicalTrials.gov is a publicly available database established and maintained by the US National Institutes of Health. Registration of an interventional clinical trial is obligatory in the USA and regulated by several US federal laws as well as laws of the European Union and it is required for funding from the World Health Organization. Trials must be registered

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before patient recruitment. Editors of numerous clinical journals published a joint statement that trial registration is obligatory before results publication. Results of trials without registration may not be published. The registration process and database structure are well described on the website and medical and technical literature regarding ClinicalTrials.gov are publicly available. Clinicaltrials.gov is the most recognised trial registry worldwide. It is the only database that provides the possibility to download trial data [15–19].

We analysed all clinical trials starting from 2000 using some form of hyperthermia for cancer treatment, which have been registered in the ClinicalTrials.gov registry to summarise this information for clinicians and investigators.

## Materials and methods

The methods used for the registration process of a trial at the ClinicalTrials.gov registry have previously been described [20]. The records of 175,538 clinical trials registered at ClinicalTrials.gov were downloaded on 29 September 2014 including all the available fields in the form of an extensible mark-up language (xml) format and a database was established with the contents of the 'xml' file datasets (MS SQL Database). We searched this database for the word

hyperthermia including abbreviations and word variations (e.g. hyperthermic). We also used the list of Medical Subject Headings (MeSH; [http://www.nlm.nih.gov/cgi/mesh/2015/MB\\_cgi?mode=&term=HYPERTHERMIA,+INDUCED](http://www.nlm.nih.gov/cgi/mesh/2015/MB_cgi?mode=&term=HYPERTHERMIA,+INDUCED)) terms for database search. All trials addressing diseases other than cancer were excluded from this analysis. Identified trials were grouped according to their primary intervention such as superficial hyperthermia, regional hyperthermia, interstitial hyperthermia, whole body hyperthermia, HIPEC, other intraperitoneal hyperthermic chemotherapy approaches, isolated limb perfusion. Other features of the identified trials were compared (trial condition, trial phase, estimated enrolment, outcome measures).

## Results

A total of 109 trials were identified (Figure 1). Trial characteristics are summarised in Table 1. Of these 49 trials (45%) had HIPEC as the primary intervention and 14 other trials (13%) were also testing some form of intraperitoneal hyperthermic chemoperfusion. Seven trials (6%) were testing perfusion attempts to other locations (thoracic/pleural  $n=4$ , limb  $n=2$ , hepatic  $n=1$ ). Sixteen trials (15%) were testing regional hyperthermia, 13 trials (12%) whole body

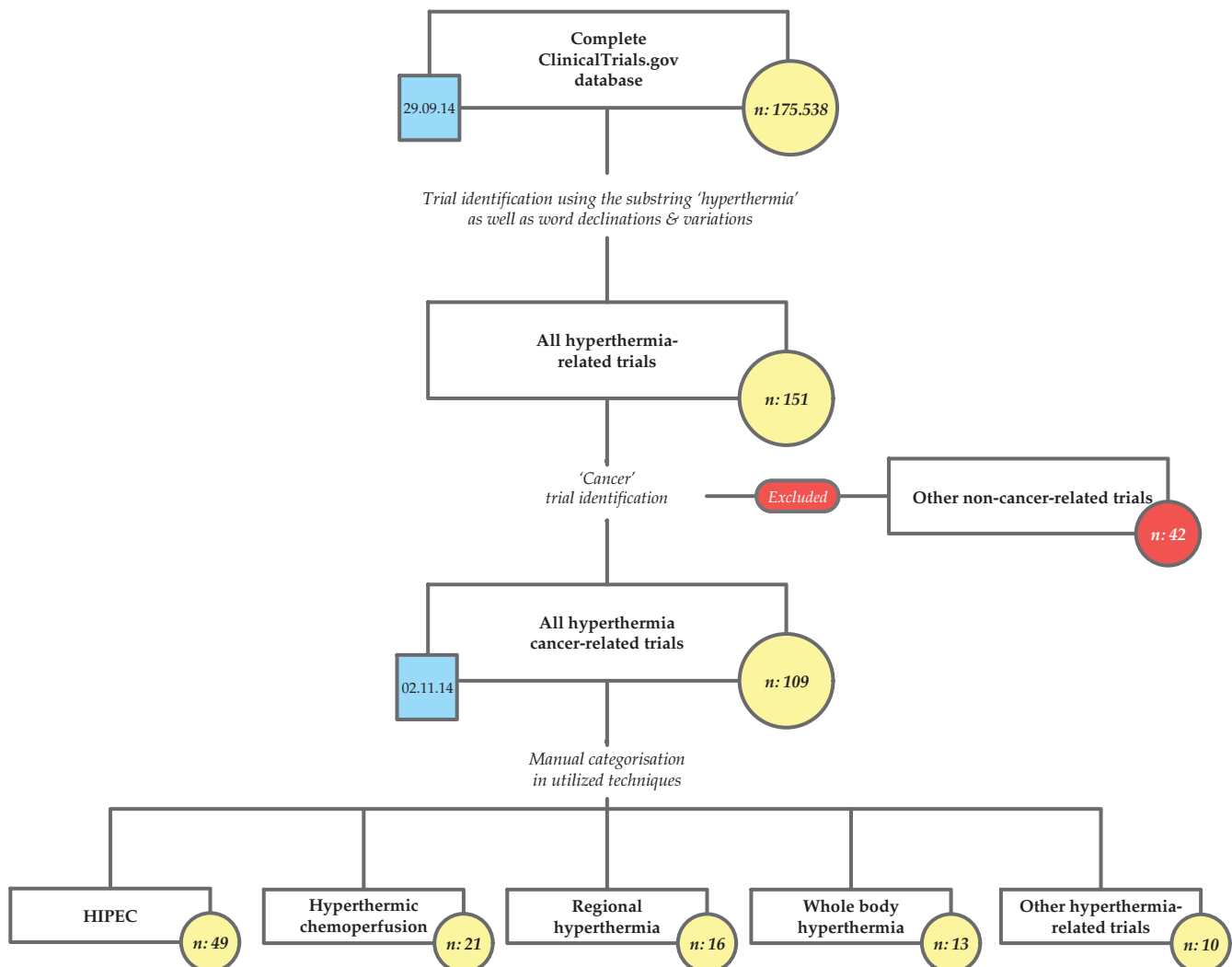


Figure 1. Consort diagram.

Table 1. Trial characteristics.

Trial attribute	Trials (%)						
	All (n = 109)	HIPEC (n = 49, 45%)	Hyperthermic chemoperfusion		Regional (n = 16, 15%)	Whole body (n = 13, 12%)	Other** (n = 10, 9%)
			Intraperitoneal (n = 14, 13%)	Other locations (n = 7, 6%)			
Lead sponsor							
Industry	5	1	1	0	1	0	2
NIH	9	0	4	4	0	0	1
Other	95	48	9	3	15	13	7
Masking							
Open	84	42	11	4	12	7	8
Single blind	3	1	0	0	0	2	0
Double blind	3	2	0	0	1	0	0
NA	19	4	3	3	3	4	2
No. of arms							
1	49	23	5	3	5	7	4
2	34	18	5	1	0	3	3
3	2	1	1	0	7	0	0
≥4	1	1	0	0	4	0	0
NA	23	6	3	3	0	3	3
Enrolment*							
0–50	55	24	9	3	5	10	4
51–100	16	9	3	0	4	1	0
101–200	13	6	1	1	3	1	1
>200	16	8	1	1	2	1	3
Location							
N. America	57	21	10	7	5	9	5
S. America	1	1	0	0	0	0	0
Europe	38	21	4	0	7	2	4
Asia	13	6	0	0	4	2	1

\*In eight trials the enrolment is not stated; \*\*includes seven superficial, two interstitial and one laser; NA, not available; NIH, US National Institutes of Health.

hyperthermia, seven trials (6%) superficial hyperthermia and two trials (2%) interstitial hyperthermia. One remaining trial tested laser hyperthermia.

A total of 103 trials (94%) were interventional trials, with the remaining six being observational trials. Of the interventional trials 17 trials (16%) were phase I, nine trials (9%) were phase I/II, 38 trials (37%) were phase II, two trials (2%) were phase II/III and 22 trials (21%) were phase III, for the remaining 15 trials phase was not available.

Only five of all identified trials (<5%) were sponsored by an industrial partner, the remaining (n = 104; 95%) were investigator driven trials.

Most trials were executed in North America (n = 57; 52%), Europe (n = 38; 35%) and Asia (n = 13; 12%) (Table 2). Figure 2 shows the number of trials registered according to the year started. Note the steep (4×) increase of trials started in 2014, with again most trials started on HIPEC.

## Discussion

More than half of hyperthermia-related clinical trials on cancer treatment identified in the ClinicalTrials.gov registry focused on some form of intraperitoneal hyperthermic chemoperfusion. The combination of radical cytoreductive surgery followed by intraoperative hyperthermic chemoperfusion, also referred to as HIPEC, was studied frequently. Regional hyperthermia was only the second most commonly used technique followed by whole body hyperthermia and superficial hyperthermia. The majority of the identified trials were phase II and III trials. Only a small minority of all trials

Table 2. Locations of trial conduction.

Country	Trial count
USA	55
France	10
Germany	9
China	7
Belgium	4
Italy	4
Netherlands	4
Korea	3
Canada	2
Switzerland	2
Taiwan	2
Austria	1
Brazil	1
Greece	1
Israel	1
Poland	1
Sweden	1
UK	1

was industrially sponsored (<5%), reflecting the currently limited interest of electro-mechanic companies for this type of treatment. North America and Europe were the two most common geographic sites for trial execution. The steep increase in number of registered clinical trials in 2014 reflects in our opinion the growing interest in hyperthermia as a promising modality to enhance the effectiveness of radiotherapy and chemotherapy (Figure 2).

The ClinicalTrials.gov registry is only available for 2000 onwards, hence hyperthermia trials before this date are not

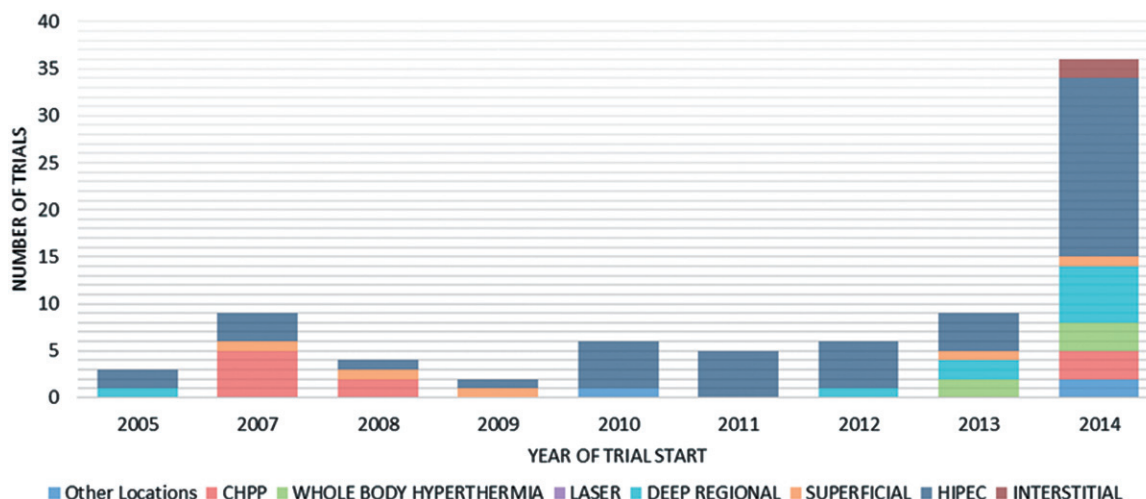


Figure 2. Clinical trials distribution according to the year the trial was started.

Table 3. Summary of all positive randomised phase III trials using an external radiofrequency heating device.

Reference	Treatment	Tumour	End point	Lesions	–HT	+HT
Hua (2011) [21]	RT + CT	Nasopharynx	5 years PFS	180	63%	73%
Huilgol (2010) [22]	RT	Head and neck	CR	54	42%	79%
Issels (2010) [23]	CT	Soft tissue sarcoma	median DFS	340	162 months	317 months
Jones (2005) [24]	RT	Various	CR	109	42%	64%
		Previously irradiated		39	24%	68%
Colombo (2003) [25]	CT	Bladder	2 years OS	75	40%	83%
Verwaal (2003) [26]	CT (HIPEC)	Colorectal peritoneal carcinomatosis	median S	105	126 months	223 months
Harima (2001) [27]	RT	Cervix	CR	40	50%	85%
Van der Zee (2000) [28]	RT	Bladder, cervix, rectum	3 years OS	358	24%	30%
Sneed (1998) [29]	RT	Glioblastoma	2 years S	112	15%	31%
Vernon (1996) [30]	RT	Breast	CR	308	41%	59%
		Previously irradiated		39	39%	79%
Wang (1996) [31]	RT	Oesophagus	3 years S	125	24%	42%
Overgaard (1995) [32]	RT	Melanoma	2 years NED	134	28%	48%
Kitamura (1995) [33]	RT, CT	Oesophagus	CR	66	6%	25%
You (1993) [34]	RT, surgery	Rectum	response	122	5%	23%
Sugimachi (1992) [35]	RT, CT, surgery	Oesophagus	Palliation	53	8%	70%
Strotzky (1991) [36]	RT, surgery	Bladder	3 years S	102	67%	94%
Berdov (1990) [37]	RT, surgery	Rectum	5 years S	115	7%	36%
Kakehi (1990) [38]	RT	Rectum	Response	14	20%	100%
Engelhardt (1989) [39]	CT	Lung	Response	44	36%	60%
Egawa (1989) [40]	RT	Various	Response	92	63%	82%
Valdagni (1988) [41]	RT	Head and neck	CR	44	41%	83%
Datta (1987) [42]	RT	Cervix	CR	64	31%	55%
Kohno (1984) [43]	CT	Vulva/vagina	Response	65	19%	59%

RT, radiation therapy; CT, chemotherapy; HT, hyperthermia; +HT, either RT, CT or both combined with HT; –HT, either RT, CT or both without HT; DFS, disease-free survival; PFS, progression-free survival; NED, no evidence of disease; S, survival; OS, overall survival; CR, complete response.

available in our current analysis, which is a major limitation. Therefore it is worth looking at the evidence for certain types of hyperthermia being generated by published clinical trials which were not registered within ClinicalTrials.gov, as they were conducted before the ClinicalTrials.gov registry became popular. Several review articles addressing clinical trials using hyperthermia have suggested that there are at least 32 randomised clinical phase III trials, 31 using an external radiofrequency heating device and just one using the HIPEC technique [7,10]. Twenty-three of these 32 phase III trials showed a positive effect by adding hyperthermia to standard radiation therapy or chemotherapy in terms of treatment outcome (expressed in various end points) (Table 3).

Seventeen out of 23 trials listed in the mentioned review articles were conducted prior to 2000.

In contrast to this older evidence, our present inventory clearly shows a strong clinical research interest for hyperthermic chemoperfusion approaches, which can be easily handled by the surgeons and medical oncologists involved. The use of external radiofrequency heating devices is associated with substantial additional costs (for dedicated technical equipment and staff), which limited its propagation and use within clinical trials. In contrast, additional expenses for hyperthermic intraperitoneal chemoperfusion are decent when compared to normothermic intraperitoneal chemoperfusion, facilitating the spread of this approach in clinical

practice and trials. A similar development is noticed for the application of hyperthermia in non-muscle-invasive bladder cancer. The recent introduction of relatively easy to use hyperthermia devices draws the attention of interested urologists to this field, who have initiated new clinical trials [44,45]. Despite the relatively low costs and easy handling of chemoperfusion approaches over more sophisticated ones such as regional hyperthermia, we also have to take into account whether important oncological end points can actually be improved by chemoperfusion approaches. This has been better described for regional hyperthermia in the past (Table 3) and results of current ongoing trials are therefore awaited. Based upon this ClinicalTrials.gov registry inventory one could consider that HIPEC and chemoperfusion are rather under-represented in the programmes of the annual meetings of the European Society for Hyperthermic Oncology and the Society of Thermal Medicine.

The current inventory focused only on those trials registered in the ClinicalTrials.gov registry and provides only information from 2000 until present. As shown above not all clinical studies for phase III trials are registered, and many more phase I and II studies have been published or are active that are not included in ClinicalTrials.gov [7,9,10]. Moreover, since 2007 a research group under the auspices of the European Society for Hyperthermic Oncology (the Atzelsberg Circle) is actively initiating clinical studies using radio-frequency devices for regional hyperthermia. As an example, the activities of the Atzelsberg Circle have resulted in the open-label, non-randomised, single-institution, phase II study of regional hyperthermia by Wessalowski et al. for salvage treatment of children and adolescents with refractory or recurrent non-testicular malignant germ-cell tumours, demonstrating an objective response rate of 86% [46].

Therefore, as a follow up of the results presented here, we are currently working on an inventory of all clinical trials of the past decades falling within the scope of the ClinicalTrials.gov registry to provide an updated overview on data from all clinical trials related to hyperthermia in oncology.

## Conclusions

This inventory shows that there is substantial active and ongoing clinical research addressing the potential of adjuvant hyperthermia. This is in contrast to the general opinion. Almost half of trials focused on some form of intraperitoneal hyperthermic chemoperfusion. Registration of on-going clinical trials is essential for the generation of future comprehensive overviews of clinical research activities in the field of hyperthermia.

## Acknowledgements

We would like to thank Branislav Trninic and Tomislav Cuk from KapitalSoft, Trebinje for technical support and advice for data processing.

## Declaration of interest

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

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